



# INDUS SHARESHREE PRIVATE LIMITED

## Addendum to PMLA Policy

(Policy on Prevention of Money Laundering  
(PMLA Policy) of Indus Shareshree Private Limited.)

This Addendum forms an integral part of the existing **Policy on Prevention of Money Laundering (PMLA Policy)** of Indus Shareshree Private Limited.

### 1. Objective

The objective of this Addendum is to define the **periodicity for obtaining financial documents and review of the financial status of clients** based on their risk categorization, in accordance with applicable PMLA and SEBI guidelines.

### 2. Periodicity of Review Based on Risk Category

The Company shall review and update the financial status and relevant financial documents of its clients as under:

- **High Risk Clients:**  
Financial documents / financial status shall be obtained and reviewed **at least once every year**.
- **Medium Risk Clients:**  
Financial documents / financial status shall be obtained and reviewed **once every three (3) years**.
- **Low Risk Clients:**  
Financial documents / financial status shall be obtained and reviewed **once every five (5) years**.

### 3. Review in Case of Suspicious Clients / Transactions

Notwithstanding the above periodicity, **in case of any suspicious client or suspicious transaction**, the Company shall **immediately review the financial status and related documents** of the concerned client, irrespective of the risk category or last review date.

### 4. Applicability

This Addendum shall be applicable to all existing and new clients of the Company and shall be read in conjunction with the existing PMLA Policy. All other terms and conditions of the PMLA Policy shall remain unchanged.

### 5. Approval

This Addendum to the PMLA Policy has been **reviewed and approved by the Management of Indus Shareshree Private Limited on August 20, 2024**, and shall be effective from the date of approval.

For Indus Shareshree Private Limited

For INDUS SHARESHREE PVT. LTD.

Director

Name: Supreet Surjewala

Designation: Director

Date: 31/12/2025

Registered Office : 1st Floor, Gopal Complex, Civil Road, Rohtak (Haryana)-124001

Corporate Office : G-65, BALI NAGAR, NEW DELHI - 110015

Tel. : EPABX 011-47671200

Member : NSE, BSE

Depository Participant - NSDL, CDSL

CATEGORY 1 : R & T AGENT

CIN- U67120HR1992PTC034972

E-mail : [ippl@indusinvest.com](mailto:ippl@indusinvest.com) Website : [www.indusinvest.com](http://www.indusinvest.com)